# Sterile Neutrinos and Short-Baseline Oscillations Carlo Giunti

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Collaboration with Marco Laveder (Padova University)

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#### **Standard Model**

- Neutrinos are the only massless fermions
- Neutrinos are the only fermions with only left-handed component  $\nu_L$

# Extension of the SM: Massive Neutrinos

- Simplest extension: introduce right-handed component  $\nu_R$
- Neutrinos become massive
- Dirac mass  $m_D \overline{\nu_R} \nu_L$  + Majorana mass  $m_M \overline{\nu_R^c} \nu_R$
- It is likely that right-handed neutrinos are connected with new physics beyond the Standard Model

### **Sterile Neutrinos**

• Light anti- $\nu_R$  are called sterile neutrinos

 $\nu_R^c \rightarrow \nu_s$  (left-handed)

- Sterile means no standard model interactions
- Active neutrinos  $(\nu_e, \nu_\mu, \nu_\tau)$  can oscillate into sterile neutrinos  $(\nu_s)$
- Observables:
  - Disappearance of active neutrinos
  - Indirect evidence through combined fit of data
- Extremely interesting and powerful window on new physics beyond the Standard Model

#### How many Sterile Neutrinos?

 $e^+e^- 
ightarrow Z 
ightarrow 
u ar{
u} \Rightarrow 
u_e 
u_\mu 
u_ au$  3 light active flavor neutrinos

mixing 
$$\Rightarrow \nu_{\alpha L} = \sum_{k=1}^{N} U_{\alpha k} \nu_{kL}$$
  $\alpha = e, \mu, \tau$   $N \ge 3$   
no upper limit!

Mass Basis: $\nu_1$  $\nu_2$  $\nu_3$  $\nu_4$  $\nu_5$  $\cdots$ Flavor Basis: $\nu_e$  $\nu_\mu$  $\nu_\tau$  $\nu_{s_1}$  $\nu_{s_2}$  $\cdots$ ACTIVESTERILE

#### Solar and Atmospheric Neutrino Oscillations



Two scales of  $\Delta m^2 \iff$  Three-Neutrino Mixing  $\Delta m^2_{SOL} = \Delta m^2_{21} \simeq 7.6 \times 10^{-5} \text{ eV}^2$  $\Delta m^2_{ATM} \simeq |\Delta m^2_{31}| \simeq |\Delta m^2_{32}| \simeq 2.4 \times 10^{-3} \text{ eV}^2$ 

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LSND

[LSND, PRL 75 (1995) 2650; PRC 54 (1996) 2685; PRL 77 (1996) 3082; PRD 64 (2001) 112007]

 $ar{
u}_{\mu} 
ightarrow ar{
u}_{e} \qquad L \simeq 30 \, \mathrm{m}$ 

Beam Excess

 $20 \,\mathrm{MeV} \le E \le 200 \,\mathrm{MeV}$ 



 $\Delta m^2_{\text{LSND}} \gtrsim 0.2 \, \text{eV}^2 \quad (\gg \Delta m^2_{\text{ATM}} \gg \Delta m^2_{\text{SOL}})$ 

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- ► New Short-BaseLine Oscillations:  $\frac{L}{E} \lesssim 1 \frac{m}{MeV} \implies \Delta m_{SBL}^2 \gtrsim 1 eV^2$
- Necessary introduction of at least one new massive neutrino:  $4-\nu$  Mixing

Mass Basis:  $\nu_1 \quad \nu_2 \quad \nu_3 \quad \nu_4$ Flavor Basis:  $\nu_e \quad \nu_\mu \quad \nu_\tau \quad \nu_s$  $\Delta m_{SBL}^2 = \Delta m_{41}^2$ 

• CP violation in SBL: at least 5- $\nu$  Mixing

 $\begin{array}{rcl} \text{Mass Basis: } \nu_1 & \nu_2 & \nu_3 & \nu_4 & \nu_5 \\ \\ \text{Flavor Basis: } \nu_e & \nu_\mu & \nu_\tau & \nu_{s1} & \nu_{s2} \\ \\ \Delta m_{\text{SBL1}}^2 = \Delta m_{41}^2 & < & \Delta m_{\text{SBL2}}^2 = \Delta m_{51}^2 \end{array}$ 

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# Cosmology



#### Four-Neutrino Schemes: 2+2 and 3+1



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# 2+2 Four-Neutrino Schemes



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2+2 Schemes are strongly disfavored by solar and atmospheric data



[Maltoni, Schwetz, Tortola, Valle, New J. Phys. 6 (2004) 122, arXiv:hep-ph/0405172]

$$\eta_s = |U_{s1}|^2 + |U_{s2}|^2$$
 99% CL:   
 $\begin{cases} \eta_s < 0.25 & (\text{solar} + \text{KamLAND}) \\ \eta_s > 0.75 & (\text{atmospheric} + \text{K2K}) \end{cases}$ 

#### **3+1 Four-Neutrino Schemes**



#### SBL Oscillation Probabilities in 3+1 Schemes

$$P_{\nu_{\alpha} \to \nu_{\alpha}} = 1 - \sin^2 2\vartheta_{\alpha\alpha} \sin^2 \left(\frac{\Delta m^2 L}{4E}\right)$$

$$\sin^2 2\vartheta_{\alpha\alpha} = 4|U_{\alpha4}|^2 \left(1 - |U_{\alpha4}|^2\right)$$

Perturbation of  $3\nu$  Mixing

### $\bar{\nu}_e$ Disappearance



[CHOOZ, Eur. Phys. J. C27 (2003) 331, hep-ex/0301017]

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#### $u_{\mu} \text{ and } \bar{\nu}_{\mu} \text{ Disappearance}$



•  $\nu_e$  disappearance experiments:

$$\sin^2 2\vartheta_{ee} = 4|U_{e4}|^2 \left(1 - |U_{e4}|^2\right) \simeq 4|U_{e4}|^2$$

•  $\nu_{\mu}$  disappearance experiments:

$$\sin^2 2\vartheta_{\mu\mu} = 4|U_{\mu4}|^2 \left(1 - |U_{\mu4}|^2\right) \simeq 4|U_{\mu4}|^2$$

•  $u_{\mu} \rightarrow \nu_{e} \text{ experiments:}$ 

$$\sin^2 2\vartheta_{e\mu} = 4|U_{e4}|^2|U_{\mu4}|^2 \simeq \frac{1}{4}\sin^2 2\vartheta_{ee}\sin^2 2\vartheta_{\mu\mu}$$

► Upper bounds on  $\sin^2 2\vartheta_{ee}$  and  $\sin^2 2\vartheta_{\mu\mu} \implies$  strong limit on  $\sin^2 2\vartheta_{e\mu}$ [Okada, Yasuda, Int. J. Mod. Phys. A12 (1997) 3669-3694, arXiv:hep-ph/9606411] [Bilenky, Giunti, Grimus, Eur. Phys. J. C1 (1998) 247, arXiv:hep-ph/9607372]

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 $u_{\mu} 
ightarrow 
u_{e}$  and  $ar{
u}_{\mu} 
ightarrow ar{
u}_{e}$  in 3+1 Schemes



[Maltoni, Schwetz, Tortola, Valle, New J. Phys. 6 (2004) 122, arXiv:hep-ph/0405172]

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#### **MiniBooNE** Neutrinos

[PRL 98 (2007) 231801; PRL 102 (2009) 101802]



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#### **MiniBooNE** Antineutrinos

[PRL 103 (2009) 111801; PRL 105 (2010) 181801]

 $ar{
u}_{\mu} 
ightarrow ar{
u}_{e} \qquad L \simeq 541\,\mathrm{m}$ 

 $475 \,\mathrm{MeV} < E \leq 3 \,\mathrm{GeV}$ 



Agreement with LSND  $\bar{\nu}_{\mu} \rightarrow \bar{\nu}_{e}$  signal!

Similar L/E but different L and  $E \Longrightarrow$  Oscillations!

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PGoF = 0.24%

- ▶ 3+1 Four-Neutrino Schemes Strong tension between LSND + MiniBooNE  $\bar{\nu}_{\mu} \rightarrow \bar{\nu}_{e}$  and MiniBooNE  $\nu_{\mu} \rightarrow \nu_{e} \implies$  CP Violation?
- → 3+2 ⇒ CP Violation OK [Sorel, Conrad, Shaevitz, PRD 70 (2004) 073004, hep-ph/0305255; Maltoni, Schwetz, PRD 76, 093005 (2007), arXiv:0705.0107; Karagiorgi et al, PRD 80 (2009) 073001, arXiv:0906.1997]
   → 3+1 + NSI ⇒ CP Violation OK [Akhmedov, Schwetz, JHEP 10 (2010) 115, arXiv:1007.4171]

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PGoF = 0.074% PGoF = 0.0048% Strong tension between LSND + MiniBooNE  $\bar{\nu}_{\mu} \rightarrow \bar{\nu}_{e}$  and  $\bar{\nu}_{e}$  (Bugey) + $\stackrel{(-)}{\nu}_{\mu}$  (CDHSW+ATM) disappearance limits + KARMEN  $\bar{\nu}_{\mu} \rightarrow \bar{\nu}_{e}$  + and MiniBooNE  $\nu_{\mu} \rightarrow \nu_{e}$ 

CPT Violation?

[Barger, Marfatia, Whisnant, PLB 576 (2003) 303]

[Giunti, Laveder, PRD 82 (2010) 093016, arXiv:1010.1395; arXiv:1012.0267]

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### MINOS Hint of CPT Violation

#### LBL $u_{\mu}$ disappearance $E \sim 3 \,\text{GeV}$

Near Detector at 1.04 km

Far Detector at 734 km



[MINOS, Neutrino 2010, 14 June 2010]

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### Phenomenological Approach: Consider $\bar{\nu}$ 's Only

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 $\chi^{2}_{min} = 29.8$  NdF = 26 GoF = 28%  $sin^{2} 2\vartheta = 1.00$   $\Delta m^{2} = 0.052 \text{ eV}^{2}$ 

Parameter Goodness-of-Fit

 $\Delta \chi^2_{\rm min} = 5.9$ NdF = 4 GoF = 21%

[Giunti, Laveder, PRD 82 (2010) 093016, arXiv:1010.1395]

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**Conservation of Probability** 

$$\sum_{\alpha} P_{\bar{\nu}_{\alpha} \to \bar{\nu}_{e}} = 1$$

$$P_{\bar{\nu}_e \to \bar{\nu}_e} + P_{\bar{\nu}_\mu \to \bar{\nu}_e} + P_{\bar{\nu}_\tau \to \bar{\nu}_e} + P_{\bar{\nu}_s \to \bar{\nu}_e} = 1$$

$$P_{\bar{\nu}_{\mu} \rightarrow \bar{\nu}_{e}} = 1 - P_{\bar{\nu}_{e} \rightarrow \bar{\nu}_{e}} - P_{\bar{\nu}_{\tau} \rightarrow \bar{\nu}_{e}} - P_{\bar{\nu}_{s} \rightarrow \bar{\nu}_{e}}$$

$$P_{ar{
u}_{\mu}
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u}_{e}}\leq 1-P_{ar{
u}_{e}
ightarrowar{
u}_{e}}$$

Reactor  $\bar{\nu}_e$  disappearance bound is unavoidable!

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 $ar{
u}_{\mu} 
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u}_{e}$  and  $ar{
u}_{e} 
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u}_{e}$ 



 $\chi^{2}_{min} = 81.4$ NdF = 82
GoF = 50%
sin<sup>2</sup> 2 $\vartheta$  = 0.014  $\Delta m^{2}$  = 0.46 eV<sup>2</sup>

Parameter Goodness-of-Fit

 $\Delta \chi^2_{\rm min} = 3.0$ NdF = 2 GoF = 22%

[Giunti, Laveder, PRD 82 (2010) 093016, arXiv:1010.1395]

#### Antineutrino Oscillations in 3+1 Schemes



 $\Delta m^2 = 0.45 \,\mathrm{eV}^2 \quad \sin^2 2\vartheta_{e\mu} = 0.013 \quad \sin^2 2\vartheta_{ee} = 0.017 \quad \sin^2 2\vartheta_{\mu\mu} = 0.65$ Prediction: large SBL  $\bar{\nu}_{\mu}$  disappearance at  $0.1 \lesssim \Delta m^2 \lesssim 1 \,\mathrm{eV}^2$ 

[Giunti, Laveder, arXiv:1012.0267]

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#### New Calculation of Reactor $\bar{\nu}_e$ Flux

- Th. A. Mueller, D. Lhuillier, M. Fallot, A. Letourneau, S. Cormon, M. Fechner, L. Giot, T. Lasserre, J. Martino, G. Mention, A. Porta, F. Yermia, Improved Predictions of Reactor Antineutrino Spectra, arXiv:1101.2663 (Thu, 13 Jan 2011)
  - "new reference antineutrino spectra for 235U, 239Pu and 241Pu"
  - "the normalization is shifted by about +3% on average"

. . .

- G. Mention, M. Fechner, Th. Lasserre, Th. A. Mueller, D. Lhuillier, M. Cribier, A. Letourneau, The Reactor Antineutrino Anomaly, arXiv:1101.2755 (Fri, 14 Jan 2011)
  - "synthesis of published experiments at reactor-detector distances < 100 m leads to a ratio of observed event rate to predicted rate of 0.979 (0.029)"
  - "this ratio shifts to 0.937 (0.027), leading to a deviation from unity at 98.4% C.L. which we call the reactor antineutrino anomaly"
- ► New reactor neutrino flux has several implications: fit of solar and KamLAND data, determination of ϑ<sub>13</sub>, short-baseline ν
  <sub>e</sub> disappearance,

#### Standard Reactor $\bar{\nu}_e$ Fluxes

New Reactor  $\bar{\nu}_e$  Fluxes



 New reactor neutrino flux evaluation decreases the tension between LSND + MiniBooNE and disappearance limits

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#### Standard Reactor $\bar{\nu}_e$ Fluxes

New Reactor  $\bar{\nu}_e$  Fluxes



► Strong tension between LSND + MiniBooNE  $\bar{\nu}_{\mu} \rightarrow \bar{\nu}_{e}$  and  $\bar{\nu}_{e}$  (Bugey) + $\stackrel{(-)}{\nu}_{\mu}$  (CDHSW+ATM) disappearance limits + KARMEN  $\bar{\nu}_{\mu} \rightarrow \bar{\nu}_{e}$  + and MiniBooNE  $\nu_{\mu} \rightarrow \nu_{e}$  remains C. Giunti -  $\nu_{s}$  and SBL Oscillations - 8 Feb 2011 - 30/40

# **Gallium Anomaly**

Gallium Radioactive Source Experiments Tests of the solar neutrino detectors GALLEX (Cr1, Cr2) and SAGE (Cr, Ar)  $\nu_{\rm o} + {}^{71}\text{Ga} \rightarrow {}^{71}\text{Ge} + e^{-}$ Detection Process:  $e^- + {}^{51}Cr \rightarrow {}^{51}V + \nu_e$   $e^- + {}^{37}Ar \rightarrow {}^{37}Cl + \nu_e$  $\nu_{e}$  Sources: <sup>51</sup>Cr <sup>37</sup>Ar E [keV] 427 811 747 752 432 813 0.8163 0.0849 0.0895 B.R. 0.0093 0.902 0.098 51Cr (27.7 days) 427 keV v (9.0%) <sup>37</sup>Ar (35.04 days) 432 keV v (0.9%) 813 keV v (9.8%) 747 keV v (81.6%) 811 keV v (90.2%) 752 keV v (8.5%) 37Cl (stable) 320 keV y [SAGE, PRC 73 (2006) 045805, nucl-ex/0512041] 51 V [SAGE, PRC 59 (1999) 2246, hep-ph/9803418]

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[SAGE, PRC 73 (2006) 045805, nucl-ex/0512041]

$$\textit{R}_{Ga} = 0.86 \pm 0.05$$

[SAGE, PRC 59 (1999) 2246, hep-ph/9803418]

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► Deficit could be due to overestimate of

$$\sigma(
u_e + {}^{71} ext{Ga} o {}^{71} ext{Ge} + e^-)$$

Calculation: Bahcall, PRC 56 (1997) 3391, hep-ph/9710491



•  $\sigma_{G.S.}$  related to measured  $\sigma(e^- + {}^{71}\text{Ge} \rightarrow {}^{71}\text{Ga} + \nu_e)$ :

$$\sigma_{ ext{G.S.}}(^{51} ext{Cr}) = 55.3 imes 10^{-46} ext{ cm}^2 \left(1 \pm 0.004 
ight)_{3\sigma}$$

•  $\sigma(^{51}\text{Cr}) = \sigma_{G.S.}(^{51}\text{Cr})\left(1 + 0.669 \frac{\text{BGT}_{175 \text{ keV}}}{\text{BGT}_{G.S.}} + 0.220 \frac{\text{BGT}_{500 \text{ keV}}}{\text{BGT}_{G.S.}}\right)$ 

Contribution of Excited States only 5%!

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Bahcall:

[Bahcall, PRC 56 (1997) 3391, hep-ph/9710491]

from  $p + {}^{71}\text{Ga} \rightarrow {}^{71}\text{Ge} + n$  measurements [Krofcheck et al., PRL 55 (1985) 1051]

$$\frac{BGT_{175 \text{ keV}}}{BGT_{G.S.}} < 0.056 \Rightarrow \frac{BGT_{175 \text{ keV}}}{BGT_{G.S.}} = \frac{0.056}{2} \qquad \frac{BGT_{500 \text{ keV}}}{BGT_{G.S.}} = 0.146$$

$$3\sigma \text{ lower limit: } \frac{BGT_{175 \text{ keV}}}{BGT_{G.S.}} = \frac{BGT_{500 \text{ keV}}}{BGT_{G.S.}} = 0$$

$$3\sigma \text{ upper limit: } \frac{BGT_{175 \text{ keV}}}{BGT_{G.S.}} < 0.056 \times 2 \qquad \frac{BGT_{500 \text{ keV}}}{BGT_{G.S.}} = 0.146 \times 2$$

$$\sigma(^{51}\text{Cr}) = 58.1 \times 10^{-46} \text{ cm}^2 \left(1^{+0.036}_{-0.028}\right)_{1\sigma} \implies \qquad R_{Ga} = 0.86 \pm 0.05$$

Haxton: [Hata, Haxton, PLB 353 (1995) 422, nucl-th/9503017; Haxton, PLB 431 (1998) 110, nucl-th/9804011] "a sophisticated shell model calculation is performed ... for the transition to the first excited state in <sup>71</sup>Ge. The calculation predicts destructive interference between the (p, n) spin and spin-tensor matrix elements."

$$\sigma(^{51}\text{Cr}) = 63.9 \times 10^{-46} \text{ cm}^2 (1 \pm 0.106)_{1\sigma} \implies R_{\text{Ga}} = 0.76^{+0.09}_{-0.08}$$

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[Giunti, Laveder, PRD 82 (2010) 053005, arXiv:1005.4599]

 $\Delta m^2_{
m SBL}\gtrsim 1\,{
m eV}^2$  is OK

 $\sin^2 2\vartheta_{\nu} > \sin^2 2\vartheta_{\bar{\nu}}$  CPT violation?

Parameter Goodness-Of-Fit:  $\Delta \chi^2_{min} = 12.1$ , NDF = 2, GoF = 0.2%

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#### **Future**

- ► New Gallium source experiments:  $\nu_e$  disappearance [Gavrin et al, arXiv:1006.2103]
- CPT test:  $\nu_e$  and  $\bar{\nu}_e$  disappearance
- ► Beta-Beam experiments: [Antusch, Fernandez-Martinez, PLB 665 (2008) 190, arXiv:0804.2820]  $N(A, Z) \rightarrow N(A, Z + 1) + e^- + \bar{\nu}_e$  ( $\beta^-$ )  $N(A, Z) \rightarrow N(A, Z - 1) + e^+ + \nu_e$  ( $\beta^+$ )
- ► Neutrino Factory experiments: [Giunti, Laveder, Winter, PRD 80 (2009) 073005, arXiv:0907.5487]

$$\mu^+ 
ightarrow ar{
u}_\mu + e^+ + 
u_e$$
 $\mu^- 
ightarrow 
u_\mu + e^- + ar{
u}_e$ 

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- New ν<sub>e</sub> and ν
  <sub>e</sub> radioactive source experiments with low-threshold neutrino elastic scattering detectors.
- ► LENS (Low Energy Neutrino Spectroscopy): [Agarwalla, Raghavan, arXiv:1011.4509]  $\nu_e + {}^{115}$ In  $\rightarrow {}^{115}$ Sn  $+ e^- + 2\gamma$   $E_{th} = 0.1$  MeV  $\bar{\nu}_e + p \rightarrow n + e^+$   $E_{th} = 1.8$  MeV

Borexino:



#### **Conclusions**

- Suggestive LSND and MiniBooNE agreement on  $\bar{
  u}_{\mu} 
  ightarrow \bar{
  u}_e$  signal
- Three experimental tensions:
  - LSND and MiniBooNE  $\bar{\nu}_{\mu} \rightarrow \bar{\nu}_{e}$  vs MiniBooNE  $\nu_{\mu} \rightarrow \nu_{e}$
  - ▶ LSND and MiniBooNE  $\bar{\nu}_{\mu} \rightarrow \bar{\nu}_{e}$  vs  $\bar{\nu}_{e}$  and  $\nu_{\mu}$  disappearance limits
  - Gallium Anomaly ( $\nu_e$  disappearance) vs Reactor ( $\bar{\nu}_e$  disappearance)
- ▶ CPT-invariant 3+1 Four-Neutrino Mixing is strongly disfavored
- CPT-violating 3+1 Mixing  $\implies$  large SBL  $\bar{\nu}_{\mu}$  disappearance
- ▶ 3+2 Five-Neutrino Mixing can explain the CP-violating tension between MiniBooNE  $\nu_{\mu} \rightarrow \nu_{e}$  and  $\bar{\nu}_{\mu} \rightarrow \bar{\nu}_{e}$
- Work in Progress: global 3+2 fit of SBL data, study of implications of new reactor neutrino flux evaluation, explanation of LSND and MiniBooNE + Gallium Anomaly.
- New short-baseline neutrino oscillation experiments are needed!

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